

**CLIENT FEE SCHEDULE****Mutual Fund Transactions (Brokerage Account):**

- Mutual Fund Buy: Sales Charge per Fund Company Prospectus
- Mutual Fund Sell: \$14 Commission Charge
- Mutual Fund Exchange: No Transaction Fee.
- Trade Confirmation Charge \$5.00 (+ \$1 per Paper Confirmation)

**Equities & Fixed Income Transactions:** Please consult with your BCG Securities Financial Advisor

- Trade Confirmation Charge \$5.00 (+ \$1 per Paper Confirmation)

**Pershing IRA Custodial Fees:**

- Full Service IRA \$60 Annual Fee
- Mutual Fund Only IRA \$12 Annual Fee
- Termination Fee \$95 Upon Closing

**Corestone Asset Management Account:**

- Silver Account \$25 Annual Fee
- Silver Plus Account \$50 Annual Fee
- Gold Account \$100 Annual Fee
- Platinum Account \$150 Annual Fee
- Corporate Gold Account \$150 Annual Fee
- Corporate Platinum Account \$250 Annual Fee

**General Service Fees:**

- Bond Redemption Fee (Matured or Called) \$10.00 per event
- Portfolio Evaluation Service (Cost Basis) \$12 per year
- Equity Dividend Reinvestment \$1 per item (Minimum \$3 net reinvestment)
- Wired Funds Transfer \$35.00 per Wire Transfer
- Overnight Fee \$12.00 per Item
- Overnight Fee (Saturday Delivery) \$18.00 per Item
- Margin Extensions \$20.00 per Event
- Returned Checks \$40.00 per Event
- ACH Returned Checks \$40.00 per Event
- Resource Checking Returned Checks \$40.00 per Event
- Resource Checking Stop Payment \$25.00 per Event
- Reorganization Activities – Voluntary \$50.00 per Event
- Legal / GNMA Transfers \$75.00 per Transfer
- Restricted Legal Transfers \$75.00 per Transfer
- Register & Ship Certificate \$60.00 per Transfer
- Direct Registration System \$25.00 per Transfer
- Accommodation Transfers \$60.00 per Transfer
- Outgoing Account Transfers \$95.00 per Transfer
- Customer Name Safekeeping \$5.00 per Account, per Position, per Month
- Custody Fee\* \$50.00 per Inactive Account, per Year

\*Custody fees are assessed to accounts defined as inactive. This includes accounts holding a security position for a calendar year (January 1 – December 31) without generating a trade. Dividend reinvestment activity is not considered as a trade. Other conditions may apply.